

Adani Ports and Special Economic Zone Limited

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: Adani Ports and Special Economic Zone Limited
2	Scrip Code/Name of Scrip/Class of Security: 532921 / ADANI PORTS / Equity
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
a.	If under 31(1)(b) then indicate the report for Quarter ending : September 30, 2019
b.	If under 31(1)(c) then indicate date of allotment/extinguishment : NA
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	-	No
2	Whether the Listed Entity has issued any Convertible Securities ?	-	No
3	Whether the Listed Entity has issued any Warrants ?	-	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
5	Whether the Listed Entity has any shares in locked-in?	-	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	-
7	Whether company has equity shares with differential voting rights?	-	No
8	Whether the listed entity has any significant beneficial owner?	Yes	-

*If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Adani Ports and Special Economic Zone Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class eg: X	Class eg: Y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	9	1268999322	-	-	1268999322	62.46	1268999322	-	1268999322	62.46	-	62.46	-	-	511163810	40.28	1268999322
(B)	Public	255384	762752439	-	-	762752439	37.54	762752439	-	762752439	37.54	-	37.54	-	-	N.A.	N.A.	762365464
(C)	Non Promoter - Non Public	-	-	-	-	-	0.00	-	-	-	-	-	0.00	-	-	-	0.00	-
(C1)	Shares underlying DRs	-	-	-	-	-	0.00	-	-	-	-	-	0.00	-	-	-	0.00	-
(C2)	Shares held by Employee Trust	-	-	-	-	-	0.00	-	-	-	-	-	0.00	-	-	-	0.00	-
	Total	255393	2031751761	-	-	2031751761	100.00	2031751761	-	2031751761	100.00	-	100.00	-	-	511163810	25.16	2031364786

Adani Ports and Special Economic Zone Limited

Table II (I)(a)- Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of shareholders	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held ^S	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
1	Indian																	
(a)	Individuals / Hindu Undivided Family	2	2	-	-	2	0.00	2	-	2	0.00	-	0.00	-	0.00	-	0.00	2
	Gautambhai Shantilal Adani	1	1	-	-	1	0.00	1	-	1	0.00	-	0.00	-	0.00	-	0.00	1
	Rajeshbhai Shantilal Adani	1	1	-	-	1	0.00	1	-	1	0.00	-	0.00	-	0.00	-	0.00	1
(b)	Central Government / State Government(s)	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	0.00	-
(c)	Financial Institutions/Banks	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	0.00	-
(d)	Any Other (Specify)	3	937577484	-	-	937577484	46.15	937577484	-	937577484	46.15	-	46.15	-	0.00	511163810	54.52	937577484
	Held by respective trustees (beneficial holders family trusts)																	
	Gautambhai Shantilal Adani & Rajeshbhai Shantilal Adani (on behalf of S. B. Adani Family Trust)	1	799353935	-	-	799353935	39.34	799353935	-	799353935	39.34	-	39.34	-	0.00	485144491	60.69	799353935
	Gautambhai Shantilal Adani & Pritiben Gautambhai Adani (on behalf of Gautam S. Adani Family Trust)	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	0.00	-
	Rajeshbhai Shantilal Adani & Shilin Rajeshbhai Adani (on behalf of Rajesh S. Adani Family Trust)	1	30000	-	-	30000	0.00	30000	-	30000	0.00	-	0.00	-	0.00	-	0.00	30000
	Bodies Corporate																	
	Adani Properties Private Limited	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	0.00	-
	Adani Tradeline LLP	1	138193549	-	-	138193549	6.80	138193549	-	138193549	6.80	-	6.80	-	0.00	26019319	18.83	138193549
	Sub Total (A)(1)	5	937577486	-	-	937577486	46.15	937577486	-	937577486	46.15	-	46.15	-	0.00	511163810	54.52	937577486
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	0.00	-
(b)	Government	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	0.00	-
(c)	Institutions	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	0.00	-
(d)	Foreign Portfolio Investor	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	0.00	-
(e)	Any Other (Specify)																	
	Bodies Corporate	4	331421836	-	-	331421836	16.31	331421836	-	331421836	16.31	-	16.31	-	0.00	-	0.00	331421836
	Worldwide Emerging Market Holding Limited	1	77756181	-	-	77756181	3.83	77756181	-	77756181	3.83	-	3.83	-	0.00	-	0.00	77756181
	Universal Trade And Investments Limited	1	79541248	-	-	79541248	3.91	79541248	-	79541248	3.91	-	3.91	-	0.00	-	0.00	79541248
	Afro Asia Trade and Investments Limited	1	89945212	-	-	89945212	4.43	89945212	-	89945212	4.43	-	4.43	-	0.00	-	0.00	89945212
	Emerging Market Investment DMCC	1	84179195	-	-	84179195	4.14	84179195	-	84179195	4.14	-	4.14	-	0.00	-	0.00	84179195
	Sub Total (A)(2)	4	331421836	-	-	331421836	16.31	331421836	-	331421836	16.31	-	16.31	-	0.00	-	0.00	331421836
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	9	1268999322	-	-	1268999322	62.46	1268999322	-	1268999322	62.46	-	62.46	-	0.00	511163810	40.28	1268999322

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.:

Nil

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Adani Ports and Special Economic Zone Limited
Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of shareholders	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held ^S	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form		
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total									
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)			
1	Institutions																		
(a)	Mutual Fund	28	45605069	-	-	45605069	2.24	45605069	-	45605069	2.24	-	2.24	-	0.00	-	-	45605069	
(b)	Venture Capital Funds	-	-	-	-	-	0.00	0	-	0	0.00	-	0.00	-	0.00	-	-	-	
(c)	Alternate Investment Funds	1	2280	-	-	2280	0.00	2280	-	2280	0.00	-	0.00	-	0.00	-	-	2280	
(d)	Foreign Venture Capital Investors	-	-	-	-	-	0.00	0	-	0	0.00	-	0.00	-	0.00	-	-	-	
(e)	Foreign Portfolio Investor	562	425341254	-	-	425341254	20.93	425341254	-	425341254	20.93	-	20.93	-	0.00	-	-	425341254	
	Europacific Growth Fund		71650679	-	-	71650679	3.53	71650679	-	71650679	3.53	-	3.53	-	0.00	-	-	71650679	
	New World Fund INC		42129412	-	-	42129412	2.07	42129412	-	42129412	2.07	-	2.07	-	0.00	-	-	42129412	
	Camas Investments Pte Ltd		26343229	-	-	26343229	1.30	26343229	-	26343229	1.30	-	1.30	-	0.00	-	-	26343229	
	Baytree Investments (Mauritius) Pte Ltd		0	-	-	0	0.00	0	-	0	0.00	-	0.00	-	0.00	-	-	0	
(f)	Financial Institutions/Banks	7	3953797	-	-	3953797	0.19	3953797	-	3953797	0.19	-	0.19	-	0.00	-	-	3953797	
(g)	Insurance Companies	19	229028062	-	-	229028062	11.27	229028062	-	229028062	11.27	-	11.27	-	0.00	-	-	229028062	
	Life Insurance Corporation of India		220536037	-	-	220536037	10.85	220536037	-	220536037	10.85	-	10.85	-	0.00	-	-	220536037	
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	-	-	
(i)	Any Other (Specify)	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	-	-	
	Foreign Institutional Investors	0	0	-	-	0	0.00	0	-	0	0.00	-	0.00	-	0.00	-	-	0	
	Sub Total (B)(1)	617	703930462	-	-	703930462	34.65	703930462	-	703930462	34.65	-	34.65	-	0.00	-	-	703930462	
2	Central Government/ State Government(s)/ President of India	3	1674160	-	-	1674160	0.08	1674160	-	1674160	0.08	-	0.08	-	0.00	-	-	1674160	
	Sub Total (B)(2)	3	1674160	-	-	1674160	0.08	1674160	-	1674160	0.08	-	0.08	-	0.00	-	-	1674160	
3	Non-Institutions																		
(a)	Individuals																		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	241567	34986589	-	-	34986589	1.72	34986589	-	34986589	1.72	-	1.72	-	0.00	-	-	34605262	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	25	11173598	-	-	11173598	0.55	11173598	-	11173598	0.55	-	0.55	-	0.00	-	-	11173598	
(b)	NBFCs registered with RBI	5	1410	-	-	1410	0.00	1410	-	1410	0.00	-	0.00	-	0.00	-	-	1410	
(c)	Employee Trusts	-	-	-	-	-	0.00	0	-	0	0.00	-	0.00	-	0.00	-	-	-	
(d)	Overseas Depositories (holding DRs) (balancing figure)	-	-	-	-	-	0.00	0	-	0	0.00	-	0.00	-	0.00	-	-	-	
(e)	Any Other (Specify)	13167	10986220	-	-	10986220	0.54	10986220	-	10986220	0.54	-	0.54	-	0.00	-	-	10980572	
	IEPF	1	169932	-	-	169932	0.01	169932	-	169932	0.01	-	0.01	-	0.00	-	-	169932	
	Trusts	10	209449	-	-	209449	0.01	209449	-	209449	0.01	-	0.01	-	0.00	-	-	191741	
	Foreign Nationals	1	14123	-	-	14123	0.00	14123	-	14123	0.00	-	0.00	-	0.00	-	-	14123	
	Hindu Undivided Family	9095	3198875	-	-	3198875	0.16	3198875	-	3198875	0.16	-	0.16	-	0.00	-	-	3198875	
	Non Resident Indians	3095	1109072	-	-	1109072	0.05	1109072	-	1109072	0.05	-	0.05	-	0.00	-	-	1109072	
	Foreign Portfolio Investor (Individual)	1	8570	-	-	8570	0.00	8570	-	8570	0.00	-	0.00	-	0.00	-	-	8570	
	Clearing Member	250	2033411	-	-	2033411	0.10	2033411	-	2033411	0.10	-	0.10	-	0.00	-	-	2033411	
	Bodies Corporate	714	4242788	-	-	4242788	0.21	4242788	-	4242788	0.21	-	0.21	-	0.00	-	-	4237140	
	Sub Total (B)(3)	254764	57147817	-	-	57147817	2.81	57147817	-	57147817	2.81	-	2.81	-	0.00	-	-	56760842	
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	255384	762752439	-	-	762752439	37.54	762752439	-	762752439	37.54	-	37.54	-	0.00	N.A.	-	762365464	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Nil

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.:

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Adani Ports and Special Economic Zone Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category	Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: y								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Custodian/DR Holder	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	-
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	-
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	-

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

Adani Ports and Special Economic Zone Limited

Table V - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Sr. No.	Details of the SBO			Details of Registered Owner			Details of holding/exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
	Name	Nationality	Nationality (Applicable in case of Any other is selected)	Name	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
							Shares	Voting Rights	Rights on distributable dividend or any other distribution	Exercise of Control	Exercise of significant influence	
1	Gautambhai Shantilal Adani	India		Shri Gautam S. Adani/Shri Rajesh S. Adani (on behalf of S. B. Adani Family Trust)	India		0	0	-	No	No	08-02-2019
2	Rajeshbhai Shantilal Adani	India		Shri Gautam S. Adani/Shri Rajesh S. Adani (on behalf of S. B. Adani Family Trust)	India		0	0	-	No	No	08-02-2019
3	Vinodbhai Shantilal Adani	Any other	Cypriot	Shri Gautam S. Adani/Shri Rajesh S. Adani (on behalf of S. B. Adani Family Trust)	India		0	0	-	No	No	08-02-2019
4	Gautambhai Shantilal Adani	India		Adani Tradeline LLP	India		0	0	-	No	No	08-02-2019
5	Rajeshbhai Shantilal Adani	India		Adani Tradeline LLP	India		0	0	-	No	No	08-02-2019
6	Vinodbhai Shantilal Adani	Any other	Cypriot	Adani Tradeline LLP	India		0	0	-	No	No	08-02-2019
7	Gautambhai Shantilal Adani	India		Rajeshbhai Shantilal Adani & Shilin Rajeshbhai Adani (on behalf of Rajesh S. Adani Family Trust)	India		0	0	-	No	No	08-02-2019
8	Rajeshbhai Shantilal Adani	India		Rajeshbhai Shantilal Adani & Shilin Rajeshbhai Adani (on behalf of Rajesh S. Adani Family Trust)	India		0	0	-	No	No	08-02-2019
9	Vinodbhai Shantilal Adani	Any other	Cypriot	Rajeshbhai Shantilal Adani & Shilin Rajeshbhai Adani (on behalf of Rajesh S. Adani Family Trust)	India		0	0	-	No	No	08-02-2019
10	Shilin Rajeshbhai Adani	India		Rajeshbhai Shantilal Adani & Shilin Rajeshbhai Adani (on behalf of Rajesh S. Adani Family Trust)	India		0	0	-	No	No	08-02-2019
11	Vinodbhai Shantilal Adani	Any other	Cypriot	Worldwide Emerging Market Holding Limited	Mauritius		0	0	-	No	No	08-02-2019
12	Vinodbhai Shantilal Adani	Any other	Cypriot	Universal Trade and Investments Limited	Mauritius		0	0	-	No	No	08-02-2019
13	Vinodbhai Shantilal Adani	Any other	Cypriot	Afro Asia Trade and Investments Limited	Mauritius		0	0	-	No	No	08-02-2019